

APPLICATION FORM FOR ENROLLMENT AS BUSINESS ASSOCIATE

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| Business Associate Code / Sub Broker Code: | | (For Official Use only) |
| Name of Relationship Manager | | RM Code |
| Name of Business Associate : | | |
| Name of Company : | | |
| Address : | | |
| City : | State: | Pin Code : |
| *Mobile : | *Tel No. (off) : | Residence : |
| *Email : | | |
| *Details of your Bank Account | | |
| Bank Name : | | |
| Branch : | Account No: | |
| ECS 9 Digit Code : | NEFT Code: | Account Type: <input type="checkbox"/> SB <input type="checkbox"/> CA |
| *Pan No. | *Provide a cancel cheque of the same. | |
| *Please note: Filling of these columns are mandatory. | | |
| Educational & Professional qualification: | | |
| AMFI Certified : <input type="checkbox"/> Yes <input type="checkbox"/> No | IRDA Certification : <input type="checkbox"/> Yes <input type="checkbox"/> No | Any Other: (Please specify) |
| ARN No. : | IRDA No. : | Cert Number : |
| ARN Validity : | IRDA Validity : | Validity: |
| *Please attach the copy of the certificate | | |
| Tick the product you've experience in Selling Financial Products | | No of Years of Selling Financial Products: <input type="checkbox"/> <input type="checkbox"/> |
| Fixed Deposit <input type="checkbox"/> | Post office Scheme <input type="checkbox"/> | RBI Bonds <input type="checkbox"/> |
| Mutual Funds <input type="checkbox"/> | Life Insurance <input type="checkbox"/> | General Insurance <input type="checkbox"/> |

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|-------------------------------|--|
| For Region Use Only: | |
| Date of Form Collections | |
| Name of Introducer | |
| Name of Reference | |
| Sharing Ratio | |
| Name of Reporting Head | |
| Name of Branch, City & Region | |

1. Associate code, if any, allotted (which allotment, if any, shall be at the sole discretion of Satco Wealth Managers Pvt. Ltd.) to you has to be stamped at the appropriate place, on the application form(s). Any claim for lead generation fee, referrer fee, sub-brokerage etc., will be entertained only in case you have affixed the Associated code allotted to you in the said form(s). We are not liable for any error arising out of wrong Associate Code or missing Associate code in the form(s).
2. Lead generation fee, referrer fee, sub-brokerage will be paid at rates specified, on our receiving the same from the company(ies). Incentive and reward program, if any, will be communicated to you for select products on a case-to-case basis.
3. In the event an application of the client has been rejected/refused/returned, you shall not be entitled to receive any fee, sub-brokerage on the same.
4. In the event of pre-mature withdrawal of the invested amount by the client, you agree that we shall have the right to recover and /or adjust the fee, sub-brokerage etc., if any, paid to you on such application.
5. In consideration of you having been empanelled with Satco Wealth Managers Pvt. Ltd., you agree not to accept any investment(s) in cash and/or in your own name and shall at all times obtain and maintain all necessary authorization/ registration, as per applicable law. Further, you shall not hold yourself out to be an investment advisor, agent, representative, employee of Satco Wealth Managers Pvt. Ltd.. Any breach of this clause, you agree to indemnify Satco Wealth Managers Pvt. Ltd. for any loss incurred and/or suffered by Satco Wealth Managers Pvt. Ltd.. The appointment made hereunder does not in any manner create or seek to create any employer-employee or master-servant or agent-principal relationship between Satco Wealth Managers Pvt. Ltd. and yourself. You shall have no right to bind Satco Wealth Managers Pvt. Ltd. and/or any of its group companies, sister concerns in any manner whatsoever.
6. If so requested by Satco Wealth Managers Pvt. Ltd., all application(s)/investment(s) should be collected by means of Accounts payee Cheque/DD made payable to the company/the it's Bankers as specified on the application form. We have not authorized any one to accept cash/money orders, postal orders or any other forms of remittance on our (Satco Wealth Managers Pvt. Ltd.) behalf. We are not liable for any such transaction entered into which involve handling of CASH.
7. We shall use our best effort to provide up to date information and a list containing the details of mobilization/investment generated through your code. You appreciate and acknowledge that, we are sharing/would be sharing with you confidential information including but not limited to our business processes and our intellectual property rights (existing or future) (collectively 'Confidential Information'), which has been developed over a period of decades or which may be developed in future and accordingly you are required to maintain strict confidentiality of the Confidential Information including but not limited to the client information. Further, you agree that during the term of this association and thereafter, you shall not directly or indirectly approach/solicit/induce/ entice any of the clients, associates/ members, employees of Satco Wealth Managers Pvt. Ltd. to leave Satco Wealth Managers Pvt. Ltd. and join you. In the event of breach of this clause, we may at our sole discretion withdraw/terminate the letter of appointment and all the benefits attached with it. Under such an eventuality, you would be under an obligation to return us all the confidential information in your possession no later than 7(seven) days from the date of such withdrawal/termination.
8. Fees, sub-brokerage/commission, if any, will only be paid by cheque/NEFT in the name of associate, subject to TDS applicable (and not to any nominee or other person) and will be marked –Account payee.
9. Any changes in Associate Code or name will not be allowed except at our sole direction, Clubbing of Associate Codes is not permissible except at our sole discretion.
10. We reserve the right to discontinue this association without assigning any reason at any point of time. We also reserve the right to alter, amend, add, delete, and modify any of the above mentioned terms and conditions. In case of any revision in rates of fees, sub-brokerage/commission payable to us, the fees, sub-brokerage/commission rate payable to all associate will automatically stand revised from such date, without any prior intimation. Satco Wealth Managers Pvt. Ltd. reserves the right to terminate this arrangement (i) at any time without assigning any reason; (ii) immediately in the event of breach of any of the term and conditions or any applicable law including those prescribed by SEBI, AMFI and/or such other regulatory authority.
11. You covenant with Satco Wealth Managers Pvt. Ltd. that at the time of accepting these Terms & Conditions there are no existing breaches of law, regulation and/or notifications. During the continuance of this arrangement you agree to abide by all applicable laws.
12. You may appoint such number of persons in any capacity. However, there shall be no privity of contract between Satco Wealth Managers Pvt. Ltd. and such persons appointed by you and Satco Wealth Managers Pvt. Ltd. shall not, in any way, be liable or responsible for the actions/omission or commission of such persons. You agree to hold Satco Wealth Managers Pvt. Ltd. indemnified from any claims, loss or damage arising from the actions/omission of yourself and/or such persons.
13. You shall not guarantee any returns to client/investors nor make any representation or advertisement, in any manner and/or of any nature, to client/investors, without the specific written authorization of Satco Wealth Managers Pvt. Ltd. and where permitted, shall only use advertising/promotional material authorized/permitted by Satco Wealth Managers Pvt. Ltd..
14. In the event of any dispute arising between both of us, the Courts at Mumbai shall have exclusive jurisdiction to resolve any such dispute.

| I / We accept the above terms & conditions | For Satco Wealth Managers Pvt. Ltd. |
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| (Signature of the applicant) | (Authorised Signatory) |

Check List

| Individual | Corporate: | Proprietor | Partnership firm |
|-----------------------------------|------------------------------|--------------------------------------|--|
| Copy of Pan card | MOA | Pan card of Proprietor | Pan card of Partnership firm & Sign authority list |
| Copy of Address Proof | Pan card copy | Declaration from Proprietor | Declaration from Partnership firm |
| Cancelled cheque | Board Resolution | Cancelled cheque | Cancelled cheque |
| Photograph-1 | List of Authorised Signatory | Sign & Stamp | Sign & Stamp |
| Copy of AMFI & Other certificates | Cancelled Cheque | AMFI certificate on the name of Firm | AMFI certificate on the name of Firm |